

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: BRAJ BHUSHAN LOHRAY, et al

For: NOVEL TRICYCLIC COMPOUNDS AND THEIR USE IN MEDICINE; PROCESS  
FOR THEIR PREPARATION AND PHARMACEUTICAL COMPOSITIONS  
CONTAINING THEM

Attorney Docket No.: U 013701-9

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

**PRELIMINARY AMENDMENT**

Please amend the above-identified application as follows.

**IN THE CLAIMS**

It is requested that all pending claims be cancelled and replaced by the following claim:

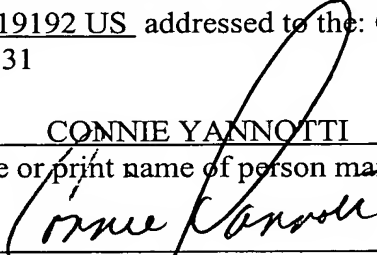
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**CERTIFICATE UNDER 37 CFR 1.10**

I hereby certify that this paper is being deposited with the United States Postal Service on this date NOVEMBER 19, 2001 in an envelope as "EXPRESS MAIL POST OFFICE TO ADDRESSEE" Mailing Label Number EV 0110119192 US addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231

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